

RISING STARS OF MUTUAL FUNDS

Brad Alford, CFA



Founder and Chief Investment Officer
Alpha Capital Management

Accreditation: Chartered Financial Analyst and Certified Financial Planner

Education: B.S. in Corporate Finance and an M.B.A. from the University of Alabama

Mentors: Richard Jenrette, found of Donaldson, Lufkin and Jenrette, and trustee of the Duke Endowment; Russell Robinson, founder of Robinson, Bradshaw & Hinson, and trustee of the Duke Endowment.

Brad Alford founded Atlanta's Alpha Capital Management in 2006, and oversaw the firm's first foray into mutual funds a little more than a year ago. He currently runs two mutual funds that seek to provide hedge fund strategies with the increased liquidity, regulatory oversight, and lower fees of mutual funds.

He's been successful in getting his funds added to platforms such as Charles Schwab, Fidelity, E*Trade, Envestnet, Pershing, SEI and Vanguard and is working to get them on others.

Before founding Alpha, Alford served as a managing director at Atlantic Trust. Before that, he was director of investment advisory services at MyCFO, serving the high-net-worth market. From 1995 to 2000, he was managing director of the Duke Endowment, where he expanded the school's alternative asset portfolio 10-fold to more than \$1 billion. While at Duke, Brad also founded and built an internet company, IPO Lockup.com. IPO Lockup.com was the first website to track and analyze the effects of lockup expirations on initial public offerings (IPOs). He was the director of endowment investments for the Emory University Endowment from 1989 to 1995.

Please refer to the prospectus for important information about the investment company including objectives, risks, charges and expenses. Read and consider it carefully before investing. You may also obtain a hard copy of the prospectus by calling 1-877-9AlphaCap (877-925-7422).

Mutual fund investing involves risk. Principal loss is possible. Because the Funds are "fund of funds", an investor will indirectly bear the principal risks of the underlying funds. The Funds will bear its share of the fees and expenses of the underlying funds. Shareholders will pay higher expenses than would be the case if making direct investments in the underlying funds. Small- and medium-capitalization companies tend to have limited liquidity and greater price volatility than large capitalization companies. Investments in foreign securities involve greater volatility and political, economic and currency risks and differences in accounting methods. These risks are greater for emerging markets. Investments in debt securities typically decrease in value when interest rates rise. This risk is usually greater for longer-term debt securities. Investments in lower-rated and non-rated securities present a greater risk of loss to principal and interest than higher-rated securities. Investments in asset backed securities include additional risks that investors should be aware of such as credit risk, prepayment risk, possible illiquidity and default, as well as increased susceptibility to adverse economic developments. The underlying funds may engage in short sales, which could result in such a fund's investment performance suffering if it is required to close out a short position earlier than it had intended. Because the funds invest in ETFs, they are subject to additional risks that do not apply to conventional mutual funds, including the risks that the market price of an ETF's shares may trade at a discount to its net asset value ("NAV"), an active secondary trading market may not develop or be maintained, or trading may be halted by the exchange in which they trade, which may impact a Fund's ability to sell its shares. Investments in closed-end funds may trade infrequently, with small volume, which may make it difficult to buy and sell shares and the value of funds may be discounted to the value of the underlying securities. The underlying funds may concentrate assets in fewer individual holdings and the volatility of these funds may be higher than more diversified funds. Investments in commodities-related businesses may be more volatile than investments in more traditional businesses based on demand and other factors. The underlying funds may invest in derivatives which involve special risks including correlation, counterparty, liquidity, operational, accounting and tax risks. These risks, in certain cases, may be greater than the risks presented by more traditional investments.

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Past performance does not guarantee future results.